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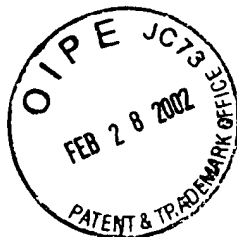
UNITED STATES PATENT AND TRADEMARK OFFICE

NOV 16 2001

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
& General Counsel
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/973,580	10/09/2001	3738	1448	CMI-470	9	50	5

SULZER MEDICA USA INC.
Suite 1600
3 East Greenway Plaza
Houston, TX 77046

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CONFIRMATION NO. 6015

FILING RECEIPT



OC000000007047029

Date Mailed: 11/09/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Douglas L. Michalsky, Austin, TX;
Phillips Edwin Carr, Austin, TX;
Edwin Lee Hankinson, Georgetown, TX;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 11/08/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

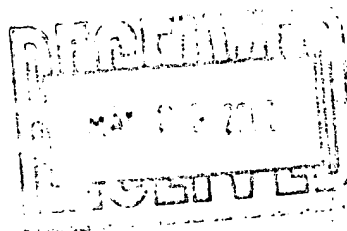
Early Publication Request: No

Title

Apparatus for testing prosthetic heart valves, and methods of using same

Preliminary Class

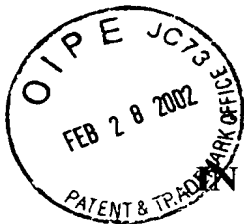
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THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Douglas L. Michalsky
Phillip Edwin Carr
Edwin Lee Hankinson

Attorney Docket: CMI-470

S/N: 09/973,580

Group: 3738

Filed: 10/09/2001

For: APPARATUS FOR TESTING
PROSTHETIC HEART VALVES,
AND METHODS OF USING
SAME

Examiner:

Office of Initial Patent Examination
Customer Service Center
Assistant Commissioner for Patents
Washington, D.C. 20231

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REQUEST FOR CORRECTION TO THE FILING RECEIPT

Dear Sir or Madam:

Applicant respectfully requests correction to the filing receipt as follows:

Applicant(s)

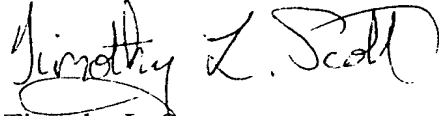
Douglas L. Michalsky, Austin, TX;
Phillip Edwin Carr, Austin, TX;
Edwin Lee Hankinson, Georgetown, TX

Note that the filing receipt incorrectly states "Phillips" instead of "Phillip".

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Please charge any necessary fees to deposit account no. 09-0473.

Respectfully submitted,



Timothy L. Scott
Reg. No. 37,931
SULZER MEDICA USA INC.
3 East Greenway Plaza, Suite 1600
Houston, Texas 77046

Phone: (713) 561-6374

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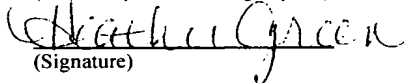
CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with
the United States Postal Service with sufficient postage as first class
mail in an envelope addressed to:

Assistant Commissioner for Patents
Washington, DC 20231

on 2/11/02
(Date)

Heather Green
(Name of person making deposit)


(Signature)

See also #

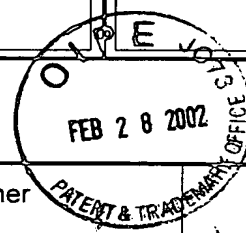
Response To Notice To File Missing Parts Of Application Filing Date Granted (PTO-1533)(Large Entry)			Docket No. CMI-470						
In Re Application Of: Michalsky, et al.									
Serial No. 09/973,580	Filing Date 10/09/2001	Examiner Patent & Trademark Office	Group Art Unit 3738						
Invention: APPARATUS FOR TESTING PROSTHETIC HEART VALVES, AND METHODS OF USING SAME									
<u>TO THE ASSISTANT COMMISSIONER FOR PATENTS:</u> <u>Box Missing Parts</u> <p>This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on <u>11/09/2001</u> <i>Date</i></p> <p>Enclosed herewith for filing are the following:</p> <ul style="list-style-type: none"> <input checked="" type="checkbox"/> A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). (REQUIRED) <input checked="" type="checkbox"/> An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date. <input type="checkbox"/> A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date. <input type="checkbox"/> An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date. <input type="checkbox"/> A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office. <input checked="" type="checkbox"/> Other (list): <div style="border: 1px solid black; padding: 10px; margin-top: 10px;"> <p>Substitute Drawings (9)</p> <table style="width: 100%;"> <tr> <td style="width: 30%;">03/06/2002 SM INASS1 00000041 090473 09973580</td> <td></td> </tr> <tr> <td>01 FC:105</td> <td>130.00 CH</td> </tr> <tr> <td>02 FC:115</td> <td>110.00 CH</td> </tr> </table> </div>				03/06/2002 SM INASS1 00000041 090473 09973580		01 FC:105	130.00 CH	02 FC:115	110.00 CH
03/06/2002 SM INASS1 00000041 090473 09973580									
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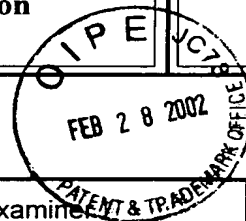
Response To Notice To File Missing Parts Of Application Filing Date Granted (PTO-1533)(LargeEntity)			Docket No. CMI-470
In Re Application Of: Michalsky, et al.			
Serial No. 09/973,580	Filing Date 10/09/2001	Examiner	Group Art Unit 3738
Invention: APPARATUS FOR TESTING PROSTHETIC HEART VALVES, AND METHODS OF USING SAME			
<u>TO THE ASSISTANT COMMISSIONER FOR PATENTS:</u> <u>Box Missing Parts</u>			
<input checked="" type="checkbox"/> Completion of application fees as calculated below:			
<input type="checkbox"/> Utility application filing fee			
<input type="checkbox"/> Design application filing fee			
<input type="checkbox"/> Total number of independent claims = _____			
<input type="checkbox"/> Total number of claims = _____			
<input type="checkbox"/> Multiple dependent claims			
<input checked="" type="checkbox"/> Surcharge for late payment of filing fee and/or late filing of original declaration or oath			
<input type="checkbox"/> Petition and fee for filing by other than all the inventors or a person not the inventor			
<input type="checkbox"/> Fee for processing an application filed with a non-English language specification			
<input type="checkbox"/> Fee for processing and retention of application			
Total completion of application fees			\$130.00
<p>This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.</p>			
<input checked="" type="checkbox"/> One month <input type="checkbox"/> Two months <input type="checkbox"/> Three months <input type="checkbox"/> Four months <input type="checkbox"/> Five months			
from: 01/10/02		until: 02/11/02	
<small>Date</small>		<small>Date</small>	
Total time extension fees			\$110.00
Total fees due			\$240.00



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Response To Notice To File Missing Parts Of Application Filing Date Granted (PTO-1533) (Large Entity)			Docket No. CMI-470
In Re Application Of: Michalsky, et al.			
Serial No. 09/973,580	Filing Date 10/09/2001	Examiner PTO-1533	Group Art Unit 3738
Invention: APPARATUS FOR TESTING PROSTHETIC HEART VALVES, AND METHODS OF USING SAME			
<u>TO THE ASSISTANT COMMISSIONER FOR PATENTS:</u> <u>Box Missing Parts</u>			
<p>The fee of \$240.00 is to be paid as follows:</p> <p><input type="checkbox"/> A check in the amount of the fee is enclosed.</p> <p><input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 09-0473 A duplicate copy of this sheet is enclosed.</p> <p><input checked="" type="checkbox"/> If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 09-0473 A duplicate copy of this sheet is enclosed.</p>			
<p><i>Timothy L. Scott</i> _____ Signature</p>		<p>Dated: <i>02/11/02</i></p>	
<p>Timothy L. Scott Reg. No. 37,931 SULZER MEDICA USA INC. 3 East Greenway Plaza, Suite 1600 Houston, Texas 77046</p> <p>Phone: (713) 561-6374 Fax: (713) 561-6380</p>		<p>I certify that this document and fee is being deposited on 02/11/02 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.</p> <p><i>Heather Green</i> _____ Signature of Person Mailing Correspondence</p> <p style="text-align: center;">Heather Green</p> <p style="text-align: center;">Typed or Printed Name of Person Mailing Correspondence</p>	
CC:			



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